Ref. No.: 12439-0121

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Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

		Libby H	ill
OIPE		Co	mplete If Known
O'FEE TRANSMITT	'AL	Application Number	10/087,081
FC - EX 2004		Filing Date	March 1, 2002
AUG 0 2 2004 For FY 2004		First Named Inventor	Grube et al.
Effective 10/01/2001. Patent fees are subject to annu		Examiner Name	S. Whitmore
TOTAL AMOUNT OF PAYMENT (\$)180.00		Art Unit	2812
TOTAL AMOUNT OF PAYMENT (\$)180.00		Attorney Docket No.	P177-US

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None	3. ADDITIONAL FEES			S		
□ Deposit Account	Large E		Small E			
	Fee	Fee	Fee	Fee	Fee Description	Fee
Account Number Account Name	Code	(\$)	Code	(\$)		Paid
	1051	130	2051	65	Surcharge – late filing fee or oath	
The Director is authorized to: (check all that apply)	1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet	
. Charge fee(s) indicated below Credit overpayments	1053	130	1053	130	Non-English specification	
☐ Charge any additional fee(s) or any underpayment of fee(s)	1812	2,520	1812	2,520	Request for ex parte reexam	
Charge fee(s) indicated below, except for the filing fee	1804	920*	1804	920°	Publication of SIR prior to	-
					Examiner action	
to the above-identified deposit account.  FEE CALCULATION	1805	1,840	1805	1,840*	Publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension of one month	
1. BASIC FILING FEE	1252	420	2252	210	Extension of two months	
Large Entity Small Entity	1253	950	2253	475	Extension of three months	
Fee Fee Fee Fee Description Fee Paid	1254	1,480	2254	740	Extension of four months	
Code (\$)   Code (\$) 1001 770   2001 385   Utility filing fee	1255	2,010	2255	1,005	Extension of five months	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing appeal brief	
1004 770 2004 385 Reissue filing fee 1005 160 2005 80 Prov.Filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Prov.Filing fee	1451	1,510	1451	1,510	Petition for public use hearing	
SUBTOTAL (1) (\$) zero	1452	110	2452	55	Pet. to revive – unavoidable Pet. to revive – unintentional	
	1453 1501	1,330 1,330	2453 2501	665 665	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES	1502	480	2502	240	Design issue fee	
Fee from Fee Extra Claims below Paid	1502	640	2503	320	Plant issue fee	
Total Claims -20** X = Tada	1460	130	1460	130	Petitions to Commissioner	
Indp. Claims -3** = X = =	1807	50	1807	50	Fee under 37 CFR 1.17(q)	
Multiple Dependent =	1806	180	1806	180	Submission of IDS	180.00
Large Entity   Small Entity	8021	40	8021	40	Record patent assignment	
Fee Fee Fee Fee Fee Description	1809	770	2809	385	Filing submission after final	
Code (\$) Code (\$)	1810	770	2810	385	Each additional invention (37	
1202 18 2202 9 Claims in excess of 20	1001	770	2801	205	CFR 1.129(a) RCE	
1201 86 2201 43 Ind. Claims in excess of 3 1203 290 2203 145 Mult. dependent Claim	1801 1802	770 900	1802	385 900	Request for expedited	
1204 86 2204 43 ** Reissue independent claims over	1602	900	1802	900	examination of design application	
original patent			•			
1205 18 2205 9 "Reissue claims in excess of 20 and over original patent		e (specify)				
i and over original patent					CURTOTAL (2) (5) 190 (	<u></u>
SUBTOTAL (2) (\$) zero	* Daducad	l by Basic	Filing Fee	Paid	SUBTOTAL (3) (\$) 180.0	JU
or number previously paid, if greater; For Reissues, see above	Reduced		i iing ree	ı alu		

SUBMITTED BY			1		(Com	plete (if appli	cable))
Name (Print/Type)	N. Kenneth Burraston			Reg. No.	39,923	Telephone	(801) 323-5934
Signature	N. Kuulla	Sunt	>			Date	July 28, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/087,081

Confirmation No. 3189

**Applicant** Filed

Grube et al.

TC/A.U.

March 1, 2002

2812

Examiner

S. Whitmore

Docket No.

P177-US

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

Listed on an attached Form PTO/SB/08A is information known to applicant(s).

A COPY OF EACH LISTED DOCUMENT IS NOT PROVIDED because each listed document was cited in the parent application US Serial No. 09/903,798. See 37 CFR § 1.98(d).

Applicants note that citation number 39 (U.S. Patent No. 6,729,019) in the attached listing is commonly owned with the above-identified patent application and may contain subject matter that is related to the subject matter of the above-identified patent application. The Examiner's attention is therefore directed to the prosecution history of the foregoing patent(s) and patent application(s) and prior art cited in these patent(s) and patent application(s); Applicants assume that the Examiner has access to all of the prosecution history, including the cited prior art, of these patents(s) and patent application(s), but Applicants will provide copies of all or any part of the prosecution history of or prior art from any of these patent(s) or patent application(s) upon request.

Applicants ask that the attached Form PTO/SB/08A be initialed and returned in accordance with MPEP §609. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56. This statement qualifies under 37 C.F.R.  $\S1.97$ , subsection (b) because (check all that apply): It is being filed within 3 months of the application filing (1)date (other than the filing date of a continued prosecution application under §1.53(d)) -- OR --It is being filed within 3 months of entry of a national stage (2) -- OR --It is being filed before the mail date of the first Office (3) Action on the merits -- OR --It is being filed before the mail date of the first Office (4) Action after the filing of a request for continued examination under §1.114. 37 C.F.R.  $\S1.97(c)$ . If this statement is being filed after the latest of: (1) three months  $\boxtimes$ beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; (3) the mailing date of a first Office action on the merits, or (4) the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, but before the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, then: a statement as specified in §1.97(e) is provided below; or a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included  $\boxtimes$ with the payment of other papers filed together with this statement. 37 C.F.R.  $\delta 1.97(d)$ . If this statement is being filed after the mailing date of the earlier of П a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, but before payment of the issue fee, then: a statement as specified in §1.97(e) is provided below; and Α. a fee of \$180.00 as set forth in \$1.17(p) is authorized below, enclosed, or included B. with the payment of other papers filed together with this statement.

Appl. No. 10/087,081 IDS dated July 28, 2004

Fee:			
		No fee is due.	
	$\boxtimes$	A check in the amount of \$15	30.00 for the above-identified fee(s) is enclosed.
			Respectfully submitted,
Date:	July 28,	2004	By M. Kenneth Burraston
			Reg. No. 39,923

Kirton & McConkie 1800 Eagle Gate Tower 60 East South Temple P.O. Box 45120 Salt Lake City, Utah 84111-1004 Telephone: (801) 323-5934

Fax: (801) 321-4893

P177-US

Modified Form PTO/SB/08A Complete If Known ubstitute for form 1449A/PTO Application Number 10/087,081 INFORMATION DISCLOSURE Filing Date March 1, 2002 STATEMENT BY APPLICANT First Named Inventor Grube et al. 2812 Group Art Unit (use as many sheets as necessary) **Examiner Name** S. Whitmore

Attorney Docket No.

3

of

Sheet

U.S. PUBLISHED PATENT DOCUMENTS							
Examiner	Cite	U.S. Patent Docume		Name of Patentee or Applicant	Publication/Issue		
Initials*	No.1	Number Kind C	Code	7	Date		
	1.	3849728		Evans	11/19/1974		
	2.	4480223		Aigo	10/30/1984		
	3.	4567432		Buol et al.	1/28/1986		
	4.	4837622		Whann et al.	6/6/1989		
100	5.	4899099		Mendenhall et al.	2/6/1990		
	6.	4983907	-	Crowley	1/8/1991		
	7.	4994735		Leedy	2/19/1991		
	8.	5090118		Kwon et al.	2/25/1992		
	9.	5103557		Leedy	4/14/1992		
	10.	5148103		Pasiecznik, Jr.	9/15/1992		
	11.	5162728		Huppenthal	11/10/1992		
	12.	5177439		Liu et al.	1/5/1993		
	13.	5185502		Shepherd et al.	2/9/1993		
	14.	5191708		Kasukabe et al.	3/9/1993		
	15.	5225777		Bross et al.	7/6/1993		
	16.	5308443		Sugihara	5/3/1994		
	17.	5399982		Driller et al.	3/21/1995		
	18.	5513430		Yanof et al.	5/7/1996		
	19.	5521518		Higgins	5/28/1996		
-	20.	5534784		Lum et al.	7/9/1996		
	21.	5701666		DeHaven et al.	12/30/1997		
-	22.	5736850		Legal	4/7/1998		
	23.	5806181		Khandros et al.	9/15/1998		
	24.	5821763		Beaman et al.	10/13/1998		
	25.	5828226		Higgins et al.	10/27/1998		

Examiner	Date	
Signature	Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WiPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Modified Form PTO/SB/08A

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

Sheet

(use as many sheets as necessary)

of

3

2

Complete If Known					
Application Number	10/087,081				
Filing Date	March 1, 2002				
First Named Inventor	Grube et al.				
Group Art Unit	2812				
Examiner Name	S. Whitmore				
Attorney Docket No.	P177-US				

		U.S. PUBLIS	HED	PATENT DOCUMENTS	
Examiner	Cite	U.S. Patent Docum	nent	Name of Patentee or Applicant	Publication/Issue
<u>Initials*</u>	No.1	Number Kind	l Code <sup>2</sup>		Date
	26.	5974662		Eldridge et al.	11/2/1999
	27.	6014032		Maddix et al.	1/11/2000
	28.	6043563		Eldridge et al.	3/28/2000
	29.	6047469		Lunn	4/11/2000
	30.	6050829		Eldridge et al.	4/18/2000
	31.	6133744		Yajima et al.	10/17/2000
	32.	6160412		Martel et al.	12/12/2000
	33.	6174744		Watanabe et al.	1/16/2001
	34.	6184576		Jones et al.	2/6/2001
	35.	6188231		Palagonia	2/13/2001
-	36.	6215320		Parrish	4/10/01
	37.	6215321		Nakata	4/10/2001
	38.	6289583		Belmont et al.	9/18/2001
	39.	6729019		Grube et al.	5/4/2004

Examiner	Date	
Signature	Considered	

<sup>06.</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Modified Form PTO/SB/08A

Complete If Known Sestitute for form 1449A/PTO Application Number 10/087,081 INFORMATION DISCLOSURE Filing Date March 1, 2002 STATEMENT BY APPLICANT First Named Inventor Grube et al. Group Art Unit 2812 (use as many sheets as necessary) **Examiner Name** S. Whitmore P177-US Attorney Docket No. Sheet of 3

	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Forei	gn Patent Docur	nent	Name of Patentee or Applicant	Publication	T <sup>6</sup>	
Initials*	No.1	Office <sup>3</sup>	Number <sup>4</sup> Kind	I Code <sup>5</sup>		Date		
	40.	EP	802419	A1	Soejima et al.	10/11/1997		
	41.	JР	05-029406		Nakao	2/5/1993	x	
	42.	JP	06-050990		Kazami	2/25/1994	X	
	43.	JP	06-249924		Kazumi et al.	9/9/1994	x	
	44.	JP	08-015318		Suzuki et al.	1/19/1996	X	
	45.	wo	98/52224	Al	Pedersen et al.	11/19/1998		
	46.	WO	00/33096	Al	Eldridge et al.	6/8/2000		
	47.	WO	00/75677	Al	Eslamy et al.	12/14/2000		
	48.	WO	01/09623	A1	Nanonexus, Inc.	2/8/2001		

OTHER PRIOR ART – NONPATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T			
	49.	LEUNG et al., "Active Substrate Membrane Probe Card," Center For Integrated Systems Stanford University (Oct. 12, 1995)				

Examiner	Date	
Signature	Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

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